# Application/Control No. O9/655,256 Examiner Michael J Simitoski Applicant(s)/Patent Under Reexamination MINNIG ET AL. Art Unit Page 1 of 5

#### Notice of References Cited

U.S.	PATE	NT I	OOC	UME	NTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	Α	US-5,958,053 A	09-1999	Denker, John Stewart	713/201
	В	US-5,983,350 A	11-1999	Minear et al.	713/201
	C	US-6,055,236 A	04-2000	Nessett et al.	370/389
	D	US-6,104,716 A	08-2000	Crichton et al.	370/401
	E	US-			
	F	US-			
	G	US-			
	Н	US-			
	ı	US-			
	J	US-			
	к	US-			
	L	US-			
	М	US-			

#### FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N	EP 909074 A1	04-1999	European Patent	COSS et al.	H04L 29/06
	0					
	Р					
	Q			,		
	R					
	S					
	Т					

#### **NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Aboba, Bernard. "NAT and IPSEC", July 2000.
	v	Allman, M. et al. "FTP Extensions for IPv6 and NATs" (RFC2428), September 1998.
	w	Bellovin, S. "Firewall-Friendly FTP" (RFC1579), February 1994.
	х	Borella, Michael et al. "Distributed Network Address Translation", October 1998.

"A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

——————————————————————————————————————		
Application/Control No. 09/655,256	Applicant(s)/Pater Reexamination MINNIG ET AL.	nt Under
Examiner	Art Unit	
Michael I Simitoski	2134	Page 2 of 5

### Notice of References Cited

#### **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	Α	US-			
	В	US-			
	С	US-			
	D	US-			
	Ε	US-			
	F	US-			
	G	US-			
	Н	US-			
	ı	US-			
	J	US-			
	к	US-			
	L	US-			
	М	US-			

#### FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	0		:			
	Р					
	Ø					
	R					
	S					_
	Т					

#### NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Briggs, Richard. "NAT FTP (was: Iptables and ipsec)", July 2000.
	٧	Egevang, K. et al. "The IP Network Address Translator (NAT)" (RFC1631), May 1994.
	w	Herscovitz, Eli. "Secure Virtual Private Networks: The Future of Data Communications", 1999 John Wiley & Sons.
	х	Hoffman, P. "SMTP Service Extension for Secure SMTP over TLS" (RFC2487), January 1999.

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

the second second			
**	Application/Control No.	Applicant(s)/Pater	nt Under
Notice of References Cited	09/655,256	Reexamination MINNIG ET AL.	
Notice of References Cited	Examiner	Art Unit	
	Michael J Simitoski	2134	Page 3 of 5

#### U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	Α	US-			
$\prod$	В	US-			
	С	US-			
	D	US-			
	Е	US-			
	F	US-			
	G	US-			
	Н	US-			
	1	US-			
	7	US-			
	К	US-			
	L	US-			
	М	US-			

#### FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	0					
	Р					
	Q					
	R					
	s					
	Т					

#### **NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Huttunen, Ari. "draft-ipsec-esp-in-udp-00.txt", September 2000.
	٧	Kent, S. et al. "Security Architecture for the Internet Protocot" (RFC2401), November 1998.
	w	Kent, S. et al. "IP Encapsulating Security Payload (ESP)" (RFC2406), November 1998.
	х	Microsoft. "Unicast routing overview", February 2000.

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

## Notice of References Cited

Application/Control No. 09/655,256	Applicant(s)/Patent Under Reexamination MINNIG ET AL.		
Examiner	Art Unit		
Michael J Simitoski	2134	Page 4 of 5	

#### **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	Α	US-			
	В	US-			
	С	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	Н	US-	·		
	. 1	US-			
	J	US-			
	К	US-			
	L	US-			
	М	US-			

#### FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	Z					
	0			·		
	Р					
	ø				,	
	R					
	S					
	Т					

#### **NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Phifer, Lisa. "IP Security and NAT: Oil and Water?", June 2000.
	٧	O'Guin, Steven et al. "Application of Virtual Private Networking Technology to Standards-Based Management Protocols Across Heterogeneous Firewall-Protected Networks", 1999 IEEE.
	w	Srisuresh, P. et al. "Security for IP Netowrk Address Translator (NAT) Domains", November 1998.
	x	Srisuresh, P. "Security Model with Tunnel-mode IPsec for NAT Domains" (RFC2709), October 1999.

"A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

#### Notice of References Cited

بوالرماية البيخاء

Application/Control No.

O9/655,256

Examiner

Michael J Simitoski

Applicant(s)/Patent Under
Reexamination
MINNIG ET AL.

Art Unit
Page 5 of 5

#### U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	Α	US-			
	В	US-			
	С	US-			
	D	US-			
	Е	US-			
	F	US-			
	G	US-			
	Н	US-			
	ı	US-			
	J	US-			
	К	US-			
	L	US-			
	М	US-			

#### FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	0					
	Р					
	Q			•		
	R					
	S					
	Т					

#### **NON-PATENT DOCUMENTS**

<del></del>	HONE ALENI DOGGILLATO					
*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)				
	U	Srisuresh, P. et al. "IP Network Address Translator (NAT) Terminology and Considerations" (RFC2663), August 1999.				
	٧	Stallings, William. "Network Security Essentials, Applications and Standards" November 1999 (Pub) Jan 2000 (Reg).				
	w	Stevens, W. Richard. TCP/IP Illustrated, Volume 1: The Protocols, 1994 Addison-Wesley.				
	x	Tsirtsis, George et al. "NAT Bypass for End 2 End 'sensitive' applications", January 1998.				

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.